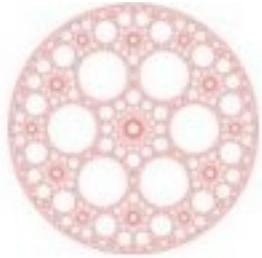


# Minimal model for powering particle motion from spin imbalance



Giuliano Benenti

Center for Nonlinear and Complex Systems,  
Univ. Insubria, Como, Italy  
INFN, Milano, Italy

Thanks to collaborators:

Ulf Bissbort, Colin Teo, Chu Guo, Dario Poletti (Singapore),  
Giulio Casati (Como)

Ref.: Phys. Rev. E **95**, 062143 (2017)

# Motivation

Quantum mechanics needed for an accurate description  
description of nanoscale (thermal) machines

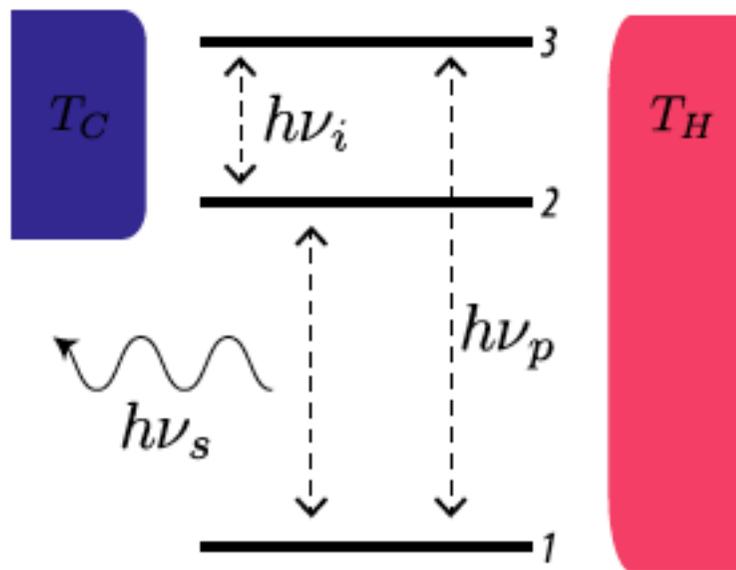
Some questions of *quantum thermodynamics*:

- role of coherence and entanglement
- quantum measurements
- minimum temperature in small quantum chillers
- relevance of quantum statistics
- quantum fluctuations
- feedback effects
- engineered nonequilibrium distributions for the baths

# Minimal models of quantum motors

Useful to uncover and analyze fundamental aspects of energy conversion

A long history and a rich literature



## THREE-LEVEL MASERS AS HEAT ENGINES

H. E. D. Scovil and E. O. Schulz-DuBois  
Bell Telephone Laboratories,  
Murray Hill, New Jersey  
(Received January 16, 1959)

[PRL 2, 262 (1959)]

$$\eta_M = \frac{\nu_s}{\nu_p} \leq \eta_C = 1 - \frac{T_C}{T_H}$$

## How Small Can Thermal Machines Be? The Smallest Possible Refrigerator

Noah Linden,<sup>1</sup> Sandu Popescu,<sup>2</sup> and Paul Skrzypczyk<sup>2</sup>

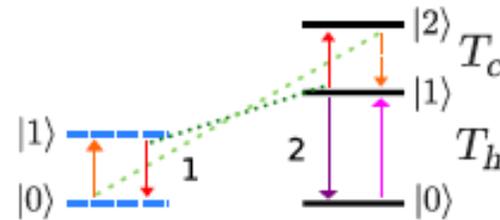
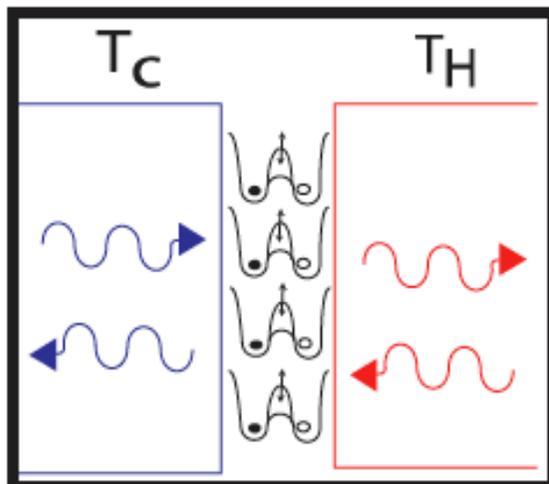


FIG. 5 (color online). Schematic diagram of a fridge consisting of a single qutrit (particle 2) with the object to be cooled (particle 1)

PHYSICAL REVIEW E **87**, 012140 (2013)

## Minimal universal quantum heat machine

D. Gelbwaser-Klimovsky,<sup>1</sup> R. Alicki,<sup>1,2</sup> and G. Kurizki<sup>1</sup>



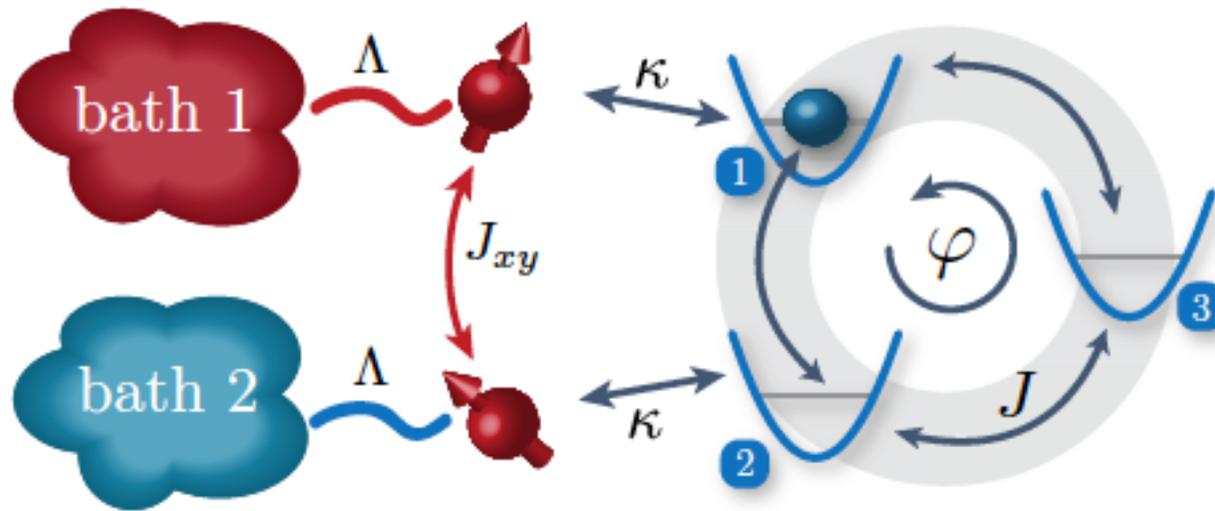
Double-well qubits (with periodically modulated tunneling barrier) between cold and hot baths

# A minimal model of coupled flows

For the purposes of energy conversion in nanodevices it is important to consider **coupled flows** (for instance, phonon and electron transport in thermoelectricity)

*Here we introduce a minimal motor for coupled (spin and particle) flows*

# A dynamical model of an open quantum system



$$\frac{d\hat{\rho}}{dt} = \mathcal{L}(\hat{\rho}, t) = -\frac{i}{\hbar}[\hat{H}(t), \hat{\rho}] + \mathcal{D}(\hat{\rho})$$

$$\hat{H} = \hat{H}_\sigma + \hat{H}_a + \hat{H}_{\sigma a},$$

$$\phi(t) = \phi(t + T)$$

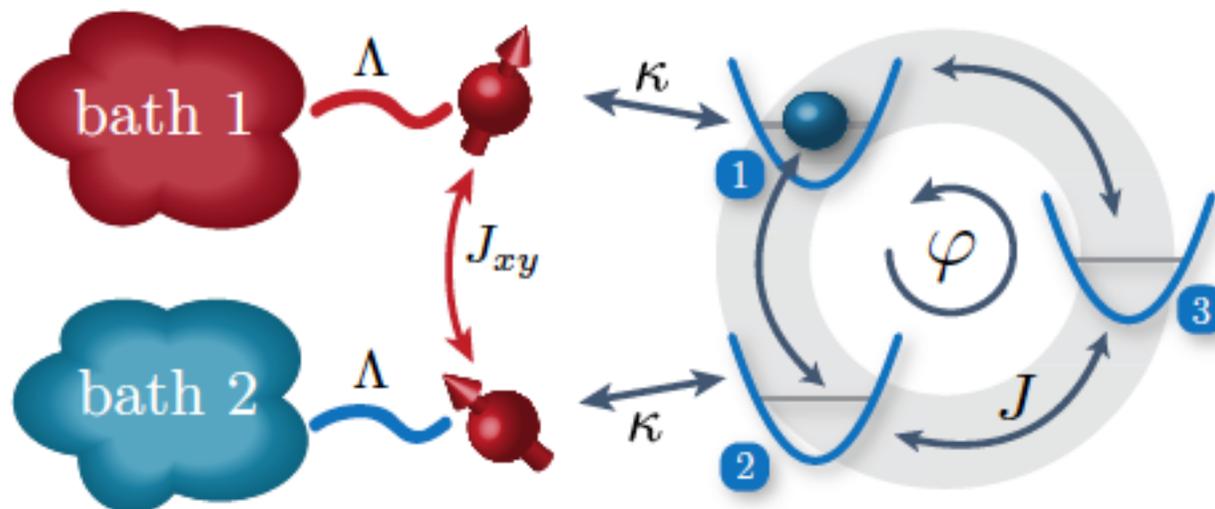
$$\hat{H}_\sigma = -J_{xy}(\hat{\sigma}_{x,1}\hat{\sigma}_{x,2} + \hat{\sigma}_{y,1}\hat{\sigma}_{y,2}) + \sum_l h_{z,l} \hat{\sigma}_{z,l},$$

(external periodic driving, e.g. a time-dependent magnetic field)

$$\hat{H}_a = -J \sum_l e^{-i\phi(t)} \hat{a}_l^\dagger \hat{a}_{l+1} + \text{H.c.},$$

$$\hat{H}_{\sigma a} = \sum_{l=1,2} \kappa_l \hat{\sigma}_{z,l} \hat{n}_l \quad (\text{ex: quantum dots, with a capacitive coupling})$$

# Local dissipation (Lindblad form)



$$\frac{d\hat{\rho}}{dt} = \mathcal{L}(\hat{\rho}, t) = -\frac{i}{\hbar}[\hat{H}(t), \hat{\rho}] + \mathcal{D}(\hat{\rho})$$

$$\mathcal{D} = \mathcal{D}_{\lambda,1} + \mathcal{D}_{\lambda,2} \quad \mathcal{D}_{\lambda,l}(\hat{\rho}) = [\lambda_l^+(2\hat{\sigma}_l^+ \hat{\rho} \hat{\sigma}_l^- - \hat{\sigma}_l^- \hat{\sigma}_l^+ \hat{\rho} - \hat{\rho} \hat{\sigma}_l^- \hat{\sigma}_l^+) + \lambda_l^-(2\hat{\sigma}_l^- \hat{\rho} \hat{\sigma}_l^+ - \hat{\sigma}_l^+ \hat{\sigma}_l^- \hat{\rho} - \hat{\rho} \hat{\sigma}_l^+ \hat{\sigma}_l^-)]$$

$\Lambda_l = \lambda_l^+ + \lambda_l^-$ ,  $p_l = \lambda_l^+ / \Lambda_l$  relative pumping rate into the upper state

**Local** Lindblad operators: *out-of-equilibrium magnetization baths* (in general temperature cannot be defined, except for  $J_{xy} = \kappa_1 = \kappa_2 = 0$ , when  $\hat{\rho}_{\text{spin},l} = p_l |\uparrow\rangle_l \langle \uparrow| + (1 - p_l) |\downarrow\rangle_l \langle \downarrow|$ )

# Working of the quantum motor

Diagonalize the Floquet-Lindblad operator to find the periodic steady state:

$$\mathbb{L}_{t_0} = \mathcal{T} e^{\int_{t_0}^{t_0+T} \mathcal{L}(t) dt} \Rightarrow \hat{\rho}_{\text{ps}}(t_0)$$

Quantum definition of work and heat currents:

$$E(t) = \langle H(t) \rangle = \text{tr} \{ \hat{H}(t) \hat{\rho}_{\text{ps}}(t) \}$$

$$dE/dt = \dot{Q} - \dot{W}, \quad \dot{W}(t) = -\text{tr} \left\{ \frac{\partial H(t)}{\partial t} \hat{\rho}_{\text{ps}}(t) \right\}$$

$$\dot{Q}(t) = \text{tr} \left\{ \hat{H}(t) \frac{\partial \hat{\rho}_{\text{ps}}(t)}{\partial t} \right\}$$

$$\mathcal{P}(t) = \dot{W}(t) \quad \text{output power}$$

$$\dot{Q}(t) = \sum_{i=1,2} \dot{Q}_{\lambda,i}(t), \quad \dot{Q}_{\lambda,i}(t) = \text{tr} \{ \hat{H}(t) \mathcal{D}_{\lambda,i} [\hat{\rho}_{\text{ps}}(t)] \}$$

(energy exchanged with the  $i$ -th bath)

# Currents and efficiency

Particle and spin currents averaged over one period:

$$\mathcal{J}_T^n = \frac{1}{T} \int_{t_0}^{t_0+T} \text{tr}[\hat{\rho}_{\text{ps}}(t) \hat{j}_l] dt, \quad \hat{j}_l = i[e^{i\phi(t)} \hat{a}_{l+1}^\dagger \hat{a}_l - e^{-i\phi(t)} \hat{a}_l^\dagger \hat{a}_{l+1}]$$

$$\mathcal{J}_T^\sigma = \frac{1}{T} \int_{t_0}^{t_0+T} \text{tr}[\hat{\rho}_{\text{ps}}(t) \hat{j}^\sigma] dt, \quad \hat{j}^\sigma = (2J_{xy})(\hat{\sigma}_{y,1} \hat{\sigma}_{x,2} - \hat{\sigma}_{y,2} \hat{\sigma}_{x,1})$$

Efficiency of the motor:

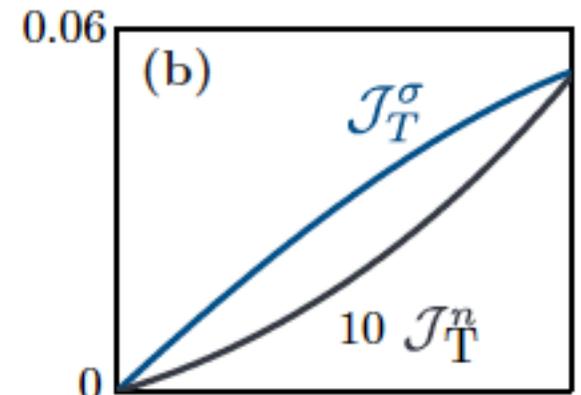
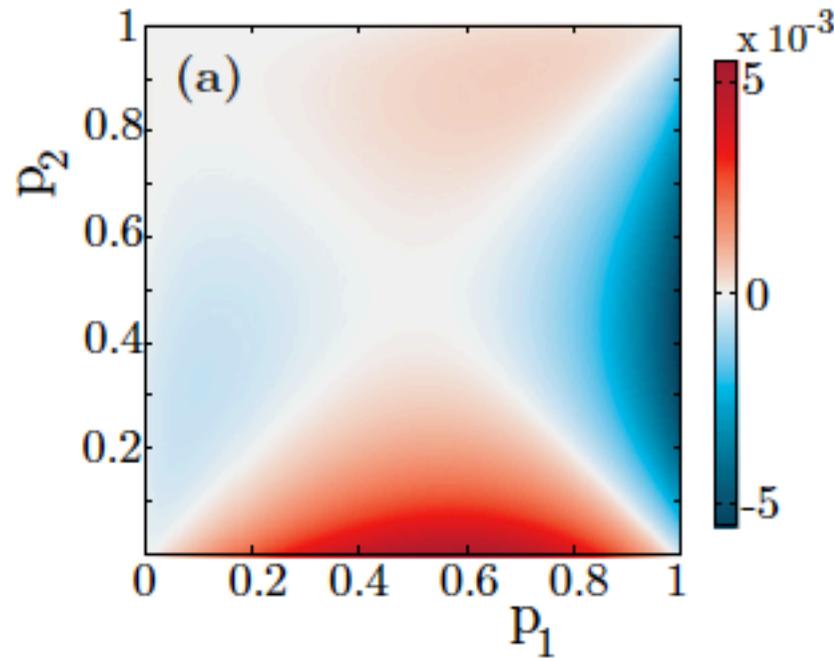
$$\eta = \frac{T \mathcal{P}_T}{Q_{\text{abs}}}$$

$$\mathcal{P}_T = \dot{Q}_T \propto \frac{\mathcal{J}_T^n}{T}$$

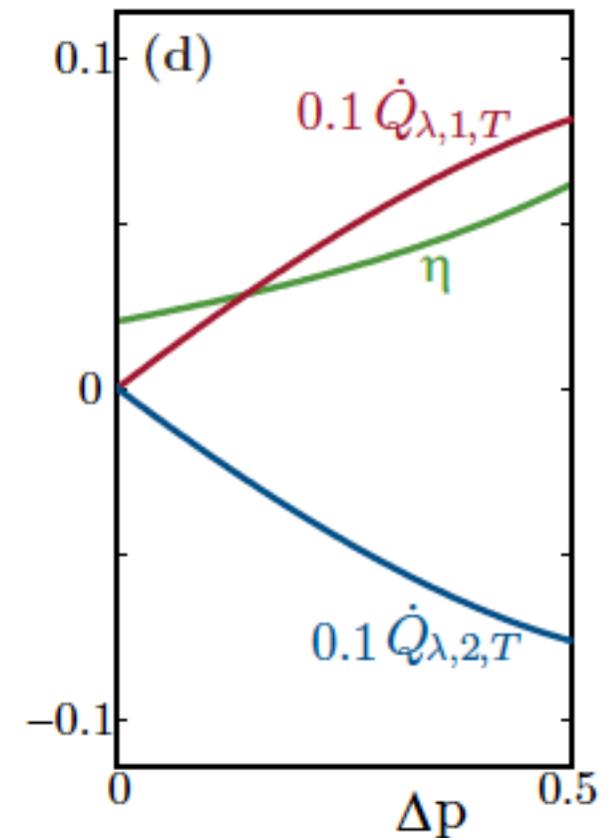
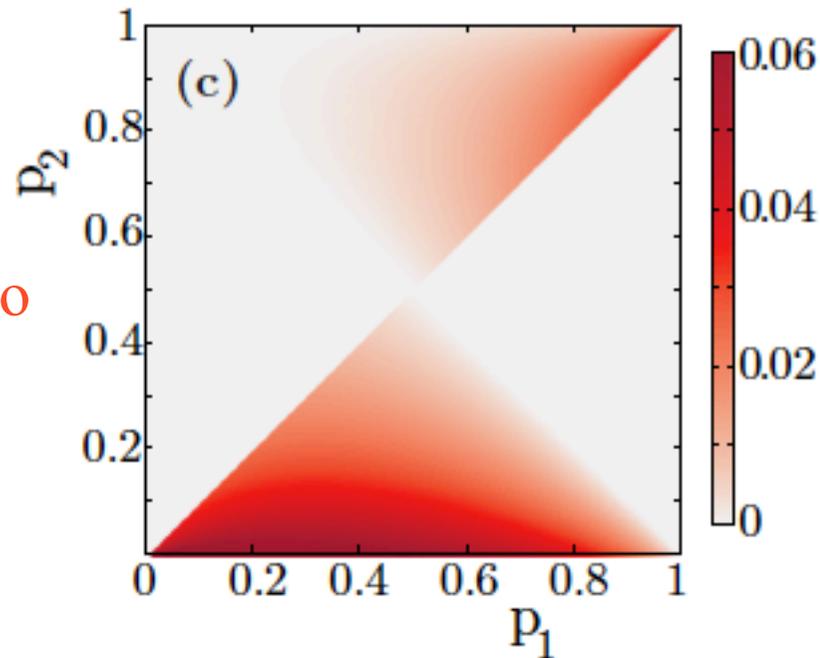
$$Q_{\text{abs}} = \sum_{i=1,2} \int_{t_0}^{t_0+T} \dot{Q}_{\lambda,i}(t) \Theta[\dot{Q}_{\lambda,i}(t)] dt$$

# Numerical results

particle current  
(proportional to  
power)



efficiency  
(cannot compare to  
Carnot efficiency)

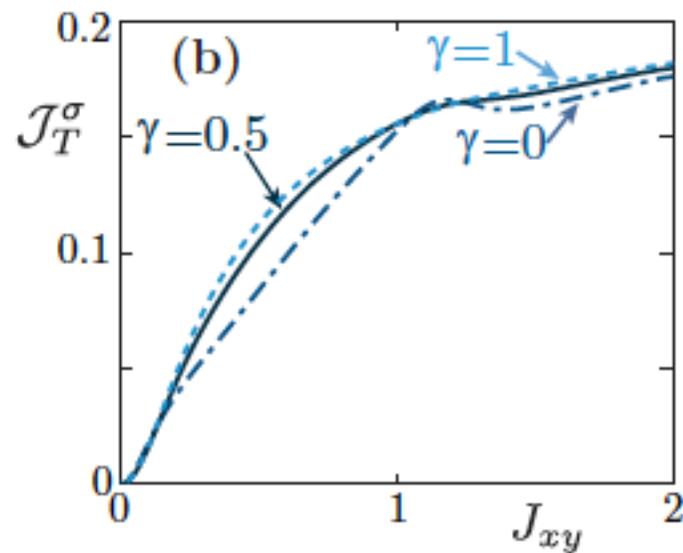
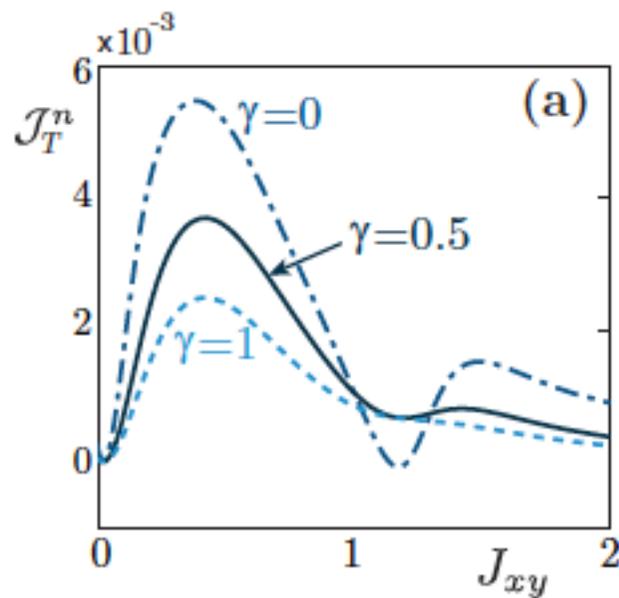


# Robustness to dephasing

$$\mathcal{D} = \bar{\mathcal{D}}_{\lambda,1} + \bar{\mathcal{D}}_{\lambda,2} + \mathcal{D}_\gamma$$

$$\mathcal{D}_\gamma = \gamma (2\hat{n}_3 \hat{\rho} \hat{n}_3 - \hat{n}_3^2 \hat{\rho} - \hat{\rho} \hat{n}_3^2)$$

$$\dot{Q}_\gamma(t) = \text{tr}\{\hat{H}(t) \mathcal{D}_\gamma[\hat{\rho}_{\text{ps}}(t)]\}$$



## Necessity of spin coupling

The particle current (and hence the power) vanishes when either  $J_{xy} = 0$  or  $\Delta p = 0$

In this case the steady state is time-independent and separable:

$$\hat{\rho}_0 = \hat{\rho}_0^{\text{spin},1} \otimes \hat{\rho}_0^{\text{spin},2} \otimes \hat{\rho}_0^{\text{particle}}$$

$$\hat{\rho}_0^{\text{particle}} = [\hat{\mathbb{1}}/3], \quad \mathcal{D}_\gamma(\hat{\rho}_0^{\text{particle}}) = 0$$

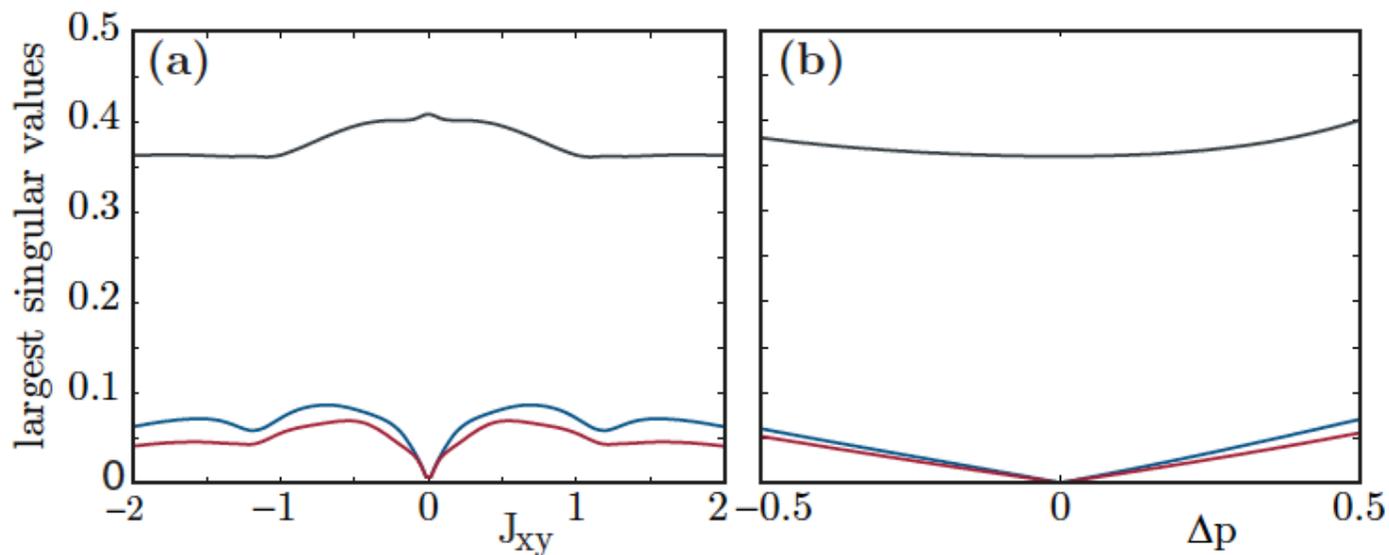
$$\rho_0^{\text{spin},1} = p_l |\uparrow\rangle_l \langle \uparrow| + (1 - p_l) |\downarrow\rangle_l \langle \downarrow|$$

# Nonseparability of the periodic steady state

Singular value decomposition:

$$\hat{\rho}_{\text{ps}}(t) = \sum_j s_j(t) \hat{B}_j^{\text{spins}}(t) \otimes \hat{B}_j^{\text{particle}}(t)$$

time-averaged largest singular values:



# Conclusions

Minimal motor to perform work against a periodic driving from an out-of-equilibrium energy flow

Coupled spin magnetization/particle transport

Non-equilibrium magnetization baths

Possible extensions: use thermal baths, analyze the effects of system size, interactions, particle statistics, and the role of measurement on the motor's performance



# Physics Reports

Volume 694, 9 June 2017, Pages 1-124



## Fundamental aspects of steady-state conversion of heat to work at the nanoscale

Giuliano Benenti <sup>a, b</sup>  , Giulio Casati <sup>a, c</sup> , Keiji Saito <sup>d</sup> , Robert S. Whitney <sup>e</sup> 

 **Show more**

<https://doi.org/10.1016/j.physrep.2017.05.008>

Get rights and content