

## Chapter 4

# Multiframe Super-resolution Image Reconstruction

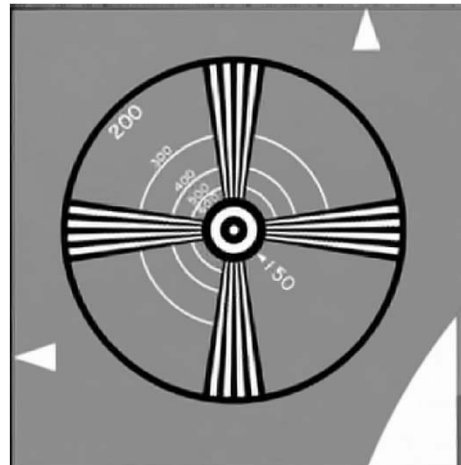
1

## Multi-frame SRIR (Video Enhancement)

19 frames  
of size  
 $57 \times 49$



*Low  
resolution  
video*



*Our method*

Source: <https://users.soe.ucsc.edu/~milanfar/software/sr-datasets.html>

2

## *Multi-frame SRIR (Video Enhancement)*



Input Video



High-definition Video

3

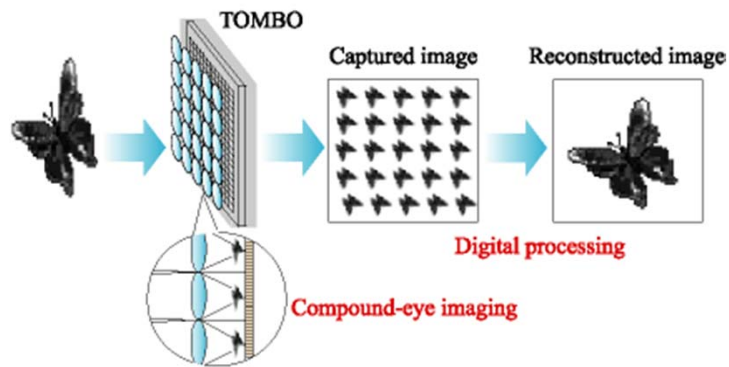
## *Outline*

- 1. High-resolution Image Reconstruction**
- 2. Video Still Enhancement Models**
  - Classical
  - Tight-frame
  - Low-rank
- 3. Experiments**

4

## Super-resolution Image Reconstruction (SRIR)

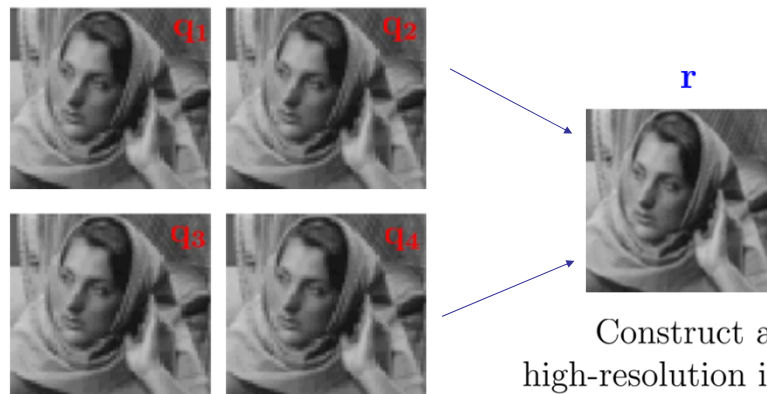
Not one, but many lens—  
compound eyes



5

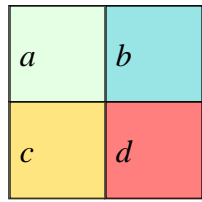
## Super-resolution Image Reconstruction (SRIR)

Four low resolution images ( $64 \times 64$ ) of the same scene  $\{q_i\}_{i=1}^4$ . Each shifted by exactly half pixel length.



6

## Modeling of SRIR

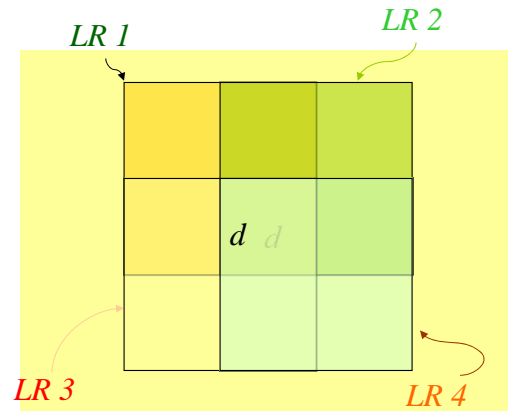


low-resolution pixel  
given intensity =  $(a+b+c+d)/4$

high-resolution  
pixel

4 LR images  
are placed  
half-pixel apart

up-sample by 2



## Modeling of SRIR

From the first LR image:

$$(E_1 \otimes E_1)\mathbf{r} = \mathbf{q}_1$$

where

$$E_1 = \frac{1}{2} \begin{bmatrix} \ddots & \ddots & \ddots & \ddots & \ddots & \ddots & 0 \\ \ddots & 1 & 1 & 0 & 0 & \ddots & \ddots \\ \ddots & 0 & 0 & 1 & 1 & 0 & \ddots & \ddots \\ & \ddots & \ddots & 0 & 0 & 1 & 1 & \ddots \\ 0 & & \ddots & \ddots & \ddots & \ddots & \dots & \dots \end{bmatrix}_{\frac{n}{4} \times n}$$

$$B_1 \mathbf{r} = \mathbf{q}_1$$

## Modeling of SRIR

From the second LR image:

$$(E_1 \otimes E_2)\mathbf{r} = \mathbf{q}_2$$

where

$$E_2 = \frac{1}{2} \begin{bmatrix} \ddots & \ddots & \ddots & \ddots & \ddots & \ddots & & 0 \\ \ddots & 0 & 1 & 1 & \ddots & \ddots & & \\ \ddots & 0 & 0 & 0 & 1 & 1 & \ddots & \ddots \\ & \ddots & \ddots & 0 & 0 & 0 & 1 & \ddots \\ 0 & & \ddots & \ddots & \ddots & \ddots & \dots & \dots \end{bmatrix}^{\frac{n}{4} \times n}$$

$$B_2 \mathbf{r} = \mathbf{q}_2$$

9

## Modeling of SRIR

$$\text{3rd sensor: } B_3 \mathbf{r} = (E_2 \otimes E_1) \mathbf{r} = \mathbf{q}_3.$$

$$\text{4th sensor: } B_4 \mathbf{r} = (E_2 \otimes E_2) \mathbf{r} = \mathbf{q}_4.$$

Combining all the LR image equations:

$$\begin{bmatrix} B_1 \\ B_2 \\ B_3 \\ B_4 \end{bmatrix} \mathbf{r} = \begin{bmatrix} \mathbf{q}_1 \\ \mathbf{q}_2 \\ \mathbf{q}_3 \\ \mathbf{q}_4 \end{bmatrix}.$$

After rearranging the rows:

$$B \mathbf{r} = \mathbf{q}$$

where  $B$  is a block-Toeplitz-Toeplitz-block matrix.

10

## Outline

1. High-resolution Image Reconstruction
2. Video Still Enhancement Models
  - Classical
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11

## Video Still Enhancement



*A 352-by-288 video  
from a video recorder*

*30 frames/second*



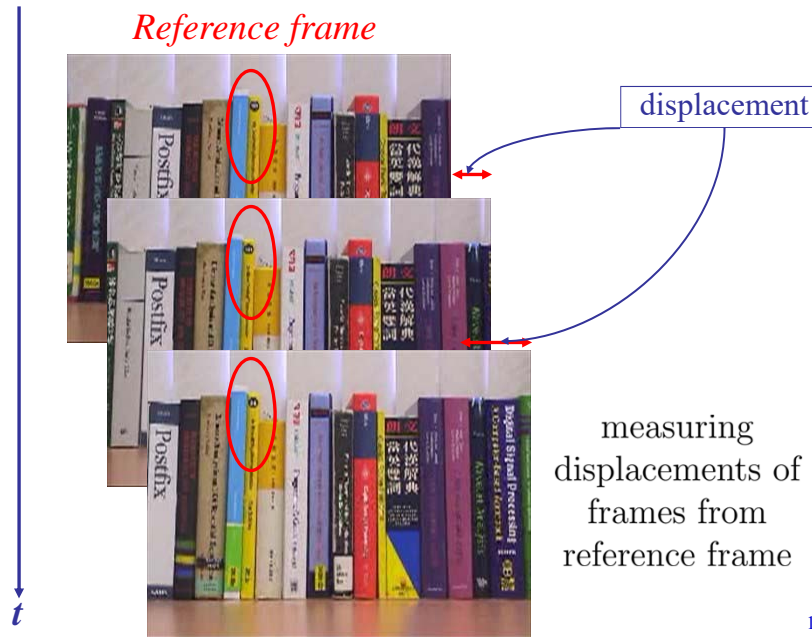
*Tight-frame method  
using 21 frames*

*[C., Shen, & Xia, ACHA 07]*

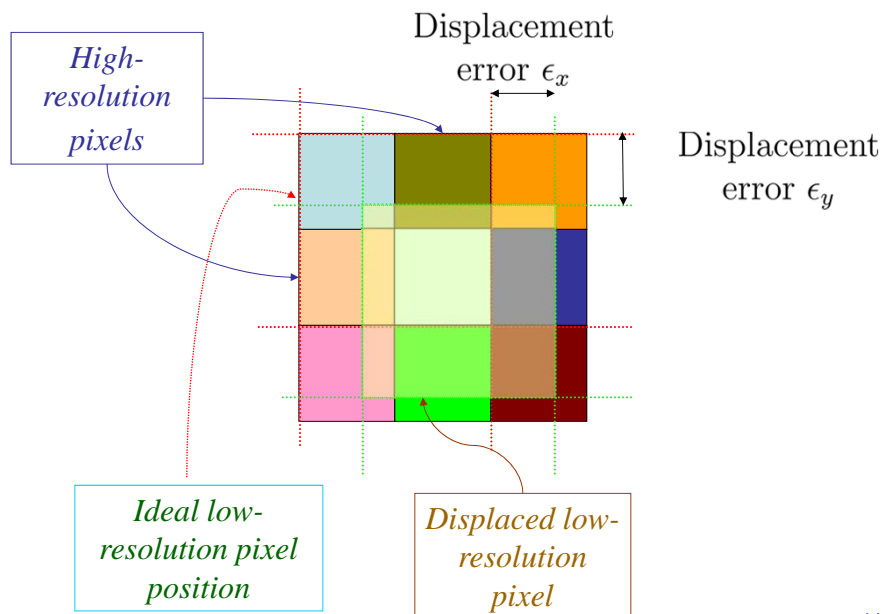
*frames are not aligned at exactly half-pixel length*

12

## Aligning the Frames



## Sub-pixel Displacement



## Modeling of Video Still Enhancement

First LR image equation:  $(E_x^1 \otimes E_y^1)\mathbf{r} = \mathbf{q}_1$ :  $B_1\mathbf{r} = \mathbf{q}_1$

$$E_j^1 = \frac{1}{2} \begin{bmatrix} \ddots & \ddots & \ddots & \ddots & \ddots & \ddots & 0 \\ \ddots & 1 - \epsilon_j^1 & 1 & \epsilon_j^1 & 0 & \ddots & \ddots \\ \ddots & 0 & 1 - \epsilon_j^1 & 1 & \epsilon_j^1 & 0 & \ddots & \ddots \\ & \ddots & \ddots & 0 & 1 - \epsilon_j^1 & 1 & \epsilon_j^1 & \ddots \\ 0 & & \ddots & \ddots & \ddots & \ddots & \ddots & \dots \end{bmatrix} \cdot$$

Similarly for each LR images from the video:

$$B_i\mathbf{r} = \mathbf{q}_i, \quad i = 2, 3, \dots, m.$$

15

## Modeling of SRIR

Combining all the LR image equations:

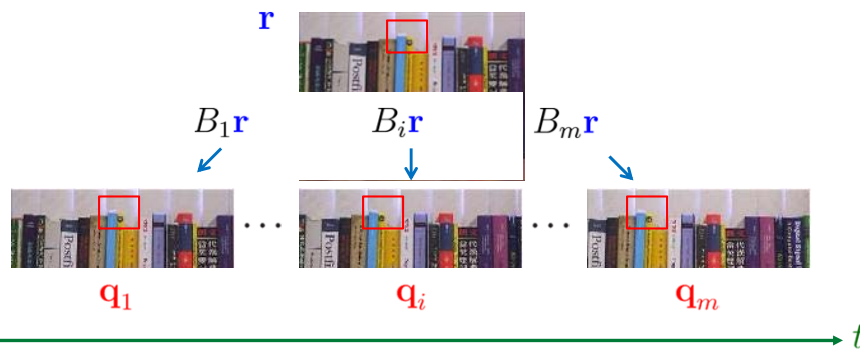
$$\begin{bmatrix} B_1 \\ B_2 \\ B_3 \\ \vdots \\ B_m \end{bmatrix} \mathbf{r} = \begin{bmatrix} \mathbf{q}_1 \\ \mathbf{q}_2 \\ \mathbf{q}_3 \\ \vdots \\ \mathbf{q}_m \end{bmatrix} \cdot$$

- Matrix is non-square and no structure.
- HR image  $\mathbf{r}$  can be obtained by solving a least-squares problem.

16



## Classical Approach [Tsai & Huang, 84]



□  $\mathbf{q}_i = B_i\mathbf{r} + \mathbf{n}_i, i = 1, \dots, m.$

□ Solve, e.g.

$$\min_{\mathbf{r}} \|\nabla\mathbf{r}\|_1 + \frac{\lambda}{2} \sum_{i=1}^m \|B_i\mathbf{r} - \mathbf{q}_i\|_2^2.$$

17

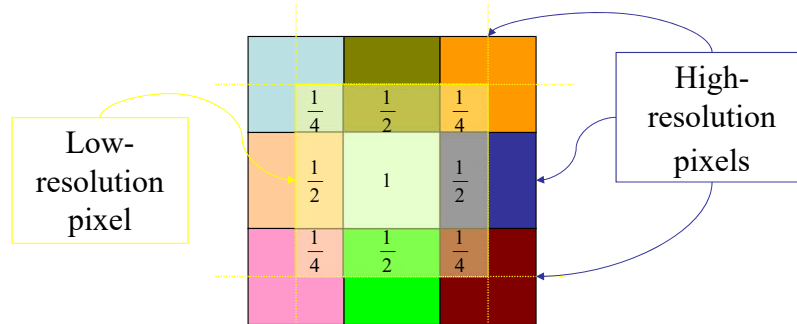
## Outline

1. High-resolution Image Reconstruction
2. Video Still Enhancement Models
  - Classical
  - **Tight-frame**
  - Low-rank
3. Experiments

18

## Tightframe Approach [C<sup>2</sup> + S<sup>2</sup>, SISC (2003)]

4 LR images merge into 1 HR image:



HR images  $\rightarrow$  LR image

Averaging process = a lowpass filter with refinement mask

$$a \equiv \frac{1}{2} \left( \dots, 0, \frac{1}{2}, 1, \frac{1}{2}, 0, \dots \right) \otimes \frac{1}{2} \left( \dots, 0, \frac{1}{2}, 1, \frac{1}{2}, 0, \dots \right)$$

19

## Piecewise Linear Tight Frame

Tight-frame filters from linear spline:

$$h_0 = \frac{1}{4}[1, 2, 1], \quad h_1 = \frac{\sqrt{2}}{4}[1, 0, -1], \quad h_2 = \frac{1}{4}[-1, 2, -1].$$

Then

$$h_0 \longleftrightarrow H_0 = \frac{1}{4} \begin{bmatrix} 2 & 1 & & 0 & 1 \\ 1 & 2 & 1 & & 0 \\ & \ddots & \ddots & \ddots & \\ 0 & & 1 & 2 & 1 \\ 1 & 0 & & 1 & 2 \end{bmatrix}.$$

In 2D,  $H_i$  are block-circulant-circulant-block matrices.

20

## From LR Images to HR Image

$$\begin{bmatrix} \dots & \dots & \vdots & \dots & \dots & \dots \\ \dots & \frac{1}{4} & \frac{1}{2} & \frac{1}{4} & \dots & \dots \\ \dots & \dots & \frac{1}{4} & \frac{1}{2} & \frac{1}{4} & \dots \\ \dots & \dots & \vdots & \dots & \dots & \dots \\ \dots & \dots & \vdots & \dots & \dots & \dots \end{bmatrix} \quad H_0$$

$$\begin{bmatrix} \mathbf{q}_1 \\ \vdots \\ \vdots \\ \vdots \\ \mathbf{q}_m \end{bmatrix}$$

LR images are framelet coefficients of  $\mathbf{r}$



high-pass coefficients are missing

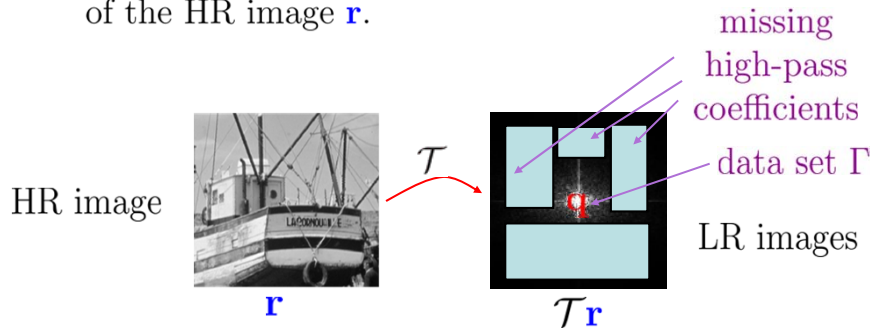
piecewise linear tightframe transform

21

## Key Observation

reconstructing HR image = frequency domain inpainting

- Given a tightframe transform  $\mathcal{T}$ , the given LR images  $\{\mathbf{q}_i\}_{i=1}^m$  ( $:= \mathbf{q}$ ) are the framelet coefficients of the HR image  $\mathbf{r}$ .



- SRIR: Find  $\mathbf{r}$  such that  $P_\Gamma \mathcal{T}\mathbf{r} = \mathbf{q}$ .

22

## Tightframe SRIR

- Given a tightframe transform  $\mathcal{T}$ , it solves:

$$\min_{\mathbf{r}, P_{\Gamma} \mathbf{c} = \mathbf{q}} \|\text{diag}(\boldsymbol{\lambda}) \mathbf{c}\|_1 + \frac{1}{2} \|\mathcal{T} \mathbf{r} - \mathbf{c}\|_2^2,$$

- $\mathbf{c}$ : tightframe coefficients of HR image  $\mathbf{r}$
- fidelity term is  $\|\mathcal{T} \mathbf{r} - \mathbf{c}\|_2^2$ , not  $\|B_i \mathbf{r} - \mathbf{q}_i\|_2^2$
- $P_{\Gamma}$ : restrict to the domain of LR images  $\{\mathbf{q}_i\}_{i=1}^m$
- Thresholding algorithm proposed in SISC in 2003 [R. Chan, T. Chan, L. Shen, Z. Shen,  $C^2 + S^2$ ].
- First iterative thresholding algorithm.

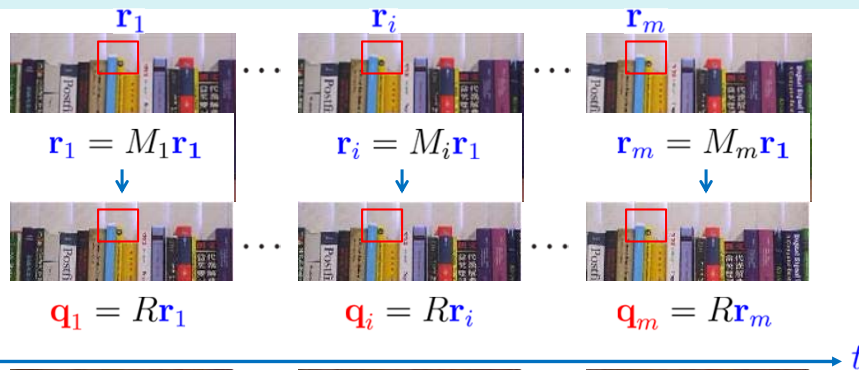
23

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24

## Low-rank Approach [ArXiv: 170406196]



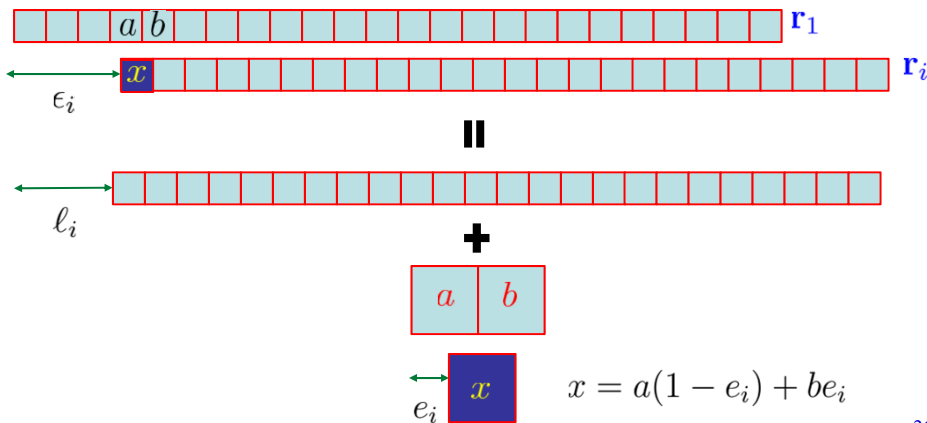
- $\mathbf{q}_i = R \mathbf{r}_i + \mathbf{n}_i, i = 1, \dots, m.$
- $\mathbf{r}_i$  are related to  $\mathbf{r}_1$  by the motion matrix  $M_i.$
- $\{\mathbf{r}_i\}_{i=1}^m$  are all high-resolution images defined on pixels with same size.

25

## Motion Matrices in 1D

If  $i$ th HR frame  $\mathbf{r}_i$  has only horizontal displacement

$$\epsilon_i = \lfloor \epsilon_i \rfloor + e_i := l_i + e_i$$



26

## Decomposition of the Motion Matrices

□ Hence

$$M_i = T(e_i)S^{\ell_i}$$

□  $S^{\ell_i}$  is the shift operator  $S$  applied  $\ell_i$  times.

□

$$T(e) = \begin{bmatrix} \ddots & \ddots & \ddots & \ddots & & & & 0 \\ \ddots & 1-e & e & 0 & \ddots & & & \\ \ddots & 0 & 1-e & e & 0 & \ddots & & \\ & \ddots & 0 & 1-e & e & 0 & \ddots & \\ 0 & & \ddots & \ddots & \ddots & \ddots & \ddots & \ddots \end{bmatrix}$$

□ Both  $T(e_i)$  and  $S^{\ell_i}$  are Toeplitz

27

## Creating Low Rank Structure

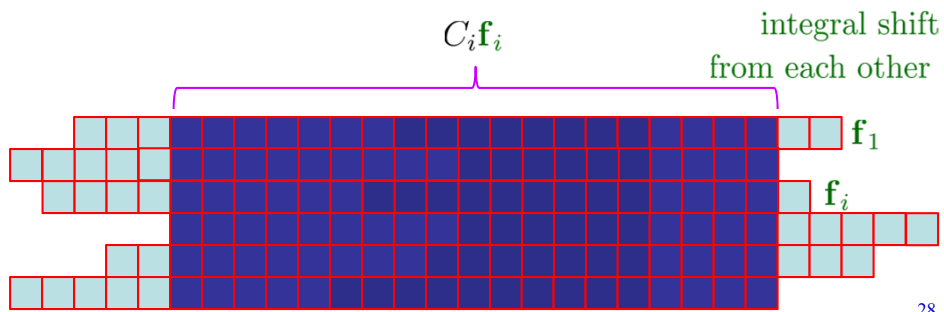
□ Then  $\mathbf{r}_i = M_i \mathbf{r}_1 = T(e_i)S^{\ell_i} \mathbf{r}_1 := T_i \mathbf{f}_i$ .

$$S^{\ell_i} \mathbf{r}_1 = \mathbf{f}_i$$

□  $\mathbf{q}_i = R T_i \mathbf{f}_i + \mathbf{n}_i, i = 1, \dots, m$ .

□ All  $\{\mathbf{f}_i\}_{i=1}^m$  defined on the same HR grid.

□ Let  $C_i \mathbf{f}_i$  constrain  $\mathbf{f}_i$  onto the overlap part.



28

## Variational Formulation

□  $[C_1 \mathbf{f}_1, \dots, C_m \mathbf{f}_m]$  should have low rank.

□ In ideal case, rank = 1.

□ 
$$\min_{\mathbf{f}_i} \text{rank}[C_1 \mathbf{f}_1, \dots, C_m \mathbf{f}_m] + \frac{\lambda}{2} \sum_{i=1}^m \|RT_i \mathbf{f}_i - \mathbf{q}_i\|_2^2.$$

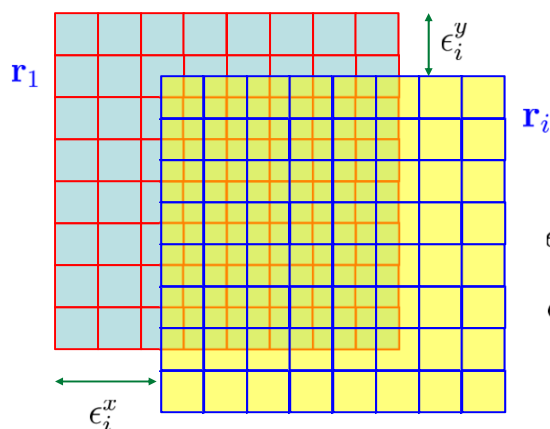
□ Convexifying it by nuclear norm:

$$\min_{\mathbf{f}_i} \|[C_1 \mathbf{f}_1, \dots, C_m \mathbf{f}_m]\|_* + \frac{\lambda}{2} \sum_{i=1}^m \|RT_i \mathbf{f}_i - \mathbf{q}_i\|_2^2.$$

□ Nuclear norm:  $\|U\|_* = \sum_j \sigma_j(U) = \|\boldsymbol{\sigma}(U)\|_1.$

## Motion Matrices in 2D

General 2D motion matrices given by tensor product.



$$M_i = T(e_i^x)S^{\ell_i^x} \otimes T(e_i^y)S^{\ell_i^y}$$

## Creating Low Rank Structure

$$\begin{aligned}
 M_i &= T(e_i^x)S^{\ell_i^x} \otimes T(e_i^y)S^{\ell_i^y} \\
 &= (T(e_i^x) \otimes T(e_i^y))(S^{\ell_i^x} \otimes S^{\ell_i^y}) \\
 &:= T(e_i)S^{\ell_i}
 \end{aligned}$$

- Both  $T(e_i)$  and  $S^{\ell_i}$  are BTTB matrices.
- Then  $\mathbf{r}_i = M_i \mathbf{r}_1 = T(e_i)S^{\ell_i} \mathbf{r}_1 := T_i \mathbf{f}_i$ .  $S^{\ell_i} \mathbf{r}_1 = \mathbf{f}_i$
- $\mathbf{q}_i = RT_i \mathbf{f}_i + \mathbf{n}_i, i = 1, \dots, m$ .
- Let  $C_i \mathbf{f}_i$  constrain  $\mathbf{f}_i$  onto the overlap part.
- $[C_1 \mathbf{f}_1, \dots, C_m \mathbf{f}_m]$  should have low rank.

## Low-rank Super-resolution Model

$$\min_{\mathbf{f}_i} \|[C_1 \mathbf{f}_1, \dots, C_m \mathbf{f}_m]\|_* + \frac{\lambda}{2} \sum_{i=1}^m \|RT_i \mathbf{f}_i - \mathbf{q}_i\|_2^2.$$

- $\ell_1$ - $\ell_2$  problem conveniently solved by ADMM (SVD thresholding) algorithm.
- In each ADMM iteration:
  - Computing SVD of an  $n$ -by- $m$  matrix:  $O(nm^2)$ .
  - Solving  $m$  linear systems of size  $n$ -by- $n$ .
  - Nice structure in  $C_i, R$  and  $T_i$  to be exploited.



## Nuclear Norm [Candes, Recht, 09; Recht, Fazel, Parrilo, 10]

$$\min_{\mathbf{f}_i} \|[R_1 \mathbf{f}_1, \dots, R_m \mathbf{f}_m]\|_* + \frac{\lambda}{2} \sum_{i=1}^m \|DT_i \mathbf{f}_i - \mathbf{q}_i\|_2^2.$$

- Nuclear norm:  $\|U\|_* = \sum_j \sigma_j(U) = \|\boldsymbol{\sigma}(U)\|_1$ .
- An  $\ell^1$ - $\ell^2$  model. Can be solved by ADMM:
  - Auxiliary variables:  $\mathbf{v}_i = R_i \mathbf{f}_i$ .
  - $\mathbf{f}_i$ -subproblem:  $(\beta R_i^t R_i + \lambda T_i^t D^t D T_i) \mathbf{f}_i^{j+1} = \mathbf{b}^j$ .
  - $\mathbf{v}_i$ -subproblem:  $[\mathbf{v}_1^{j+1}, \dots, \mathbf{v}_m^{j+1}] = \text{SVT}_{\frac{1}{\beta}}(U^j)$ .

33

## Motion Estimation

- Local motion estimation:
  - Optical flow: track motion for each pixel in each frame
  - Pull images  $\mathbf{q}_j$ ,  $j = 1, \dots, m$ , pixel by pixel back to same grid as  $\mathbf{q}_{\text{ref}}$  to get  $\tilde{\mathbf{q}}_j$ .
- Global motion estimation:
  - Affine motion between  $\mathbf{q}_{\text{ref}}$  and  $\tilde{\mathbf{q}}_j$  estimated by least-squares.

34

## Local Motion Estimation [C. Gilliam and T. Blu, 2015]

- *All-pass optical flow*: motion = all-pass filter
- Given filters  $\{\phi_j\}_{j=0}^N$  and  $\phi := \phi_0 + \sum_{j=1}^{N-1} c_j \phi_j$ , find

$$\min_{\{c_i\}} \sum_{k,l \in W} |\phi(k,l) \mathbf{q}_j(x-k, y-l) - \phi(-k, -l) \mathbf{q}_{\text{ref}}(x-k, y-l)|^2.$$

- The optical flow of  $\mathbf{q}_j$  at  $(x, y)$  is

$$F_j(x, y) = \left( \frac{2 \sum_{k,l} k \phi(k, l)}{\sum_{k,l} \phi(k, l)}, \frac{2 \sum_{k,l} l \phi(k, l)}{\sum_{k,l} \phi(k, l)} \right).$$

- Pull back image  $\tilde{\mathbf{q}}_j(x, y) = \mathbf{q}_j(F_j(x, y))$ .

35

## Global Motion Estimation

- There may still be a small perturbation between  $\tilde{\mathbf{q}}_j$  and the reference frame  $\mathbf{q}_{\text{ref}}$ .
- Assume small perturbation is affine:

$$\tilde{\mathbf{q}}_j = \begin{bmatrix} \rho_{0,j} & \rho_{2,j} \\ \rho_{1,j} & \rho_{3,j} \end{bmatrix} \mathbf{q}_{\text{ref}} + \begin{bmatrix} \rho_{5,j} \\ \rho_{6,j} \end{bmatrix}, \quad j = 1, 2, \dots, m.$$

- $\{\rho_{i,j}\}_{i=1}^4$  give the rotation, and  $\rho_{5,j}$  and  $\rho_{6,j}$  give the displacements.
- $\{\rho_{i,j}\}_{i=1}^6$  are obtained by least-squares.

[C., Shen and Xia, ACHA, 2007]

36

## Outline

1. High-resolution Image Reconstruction
2. Video Still Enhancement Models
  - Classical
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37

## Bookshelf Video Upsampled by 2



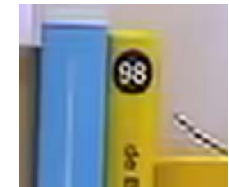
*Single frame with  
bilinear interpolation*



*21 frames with  
TV regularization*



*21 frames with  
tightframe*



*21 frames with  
nuclear norm*

38

## Disk Video Upsampled by 2

19 frames  
of size  
 $57 \times 49$



*LR video*



*MAP (2015)*



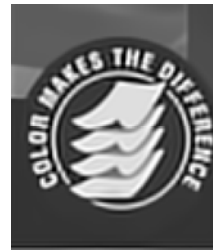
*tightframe (2007)*



*reference frame*



*sparse direct'l ('10)*



*nuclear*

Source: <https://users.soe.ucsc.edu/~milanfar/software/sr-datasets.html>

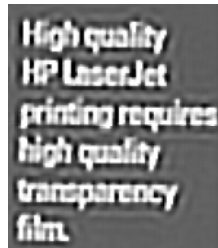
39

## Text Video Upsampled by 2

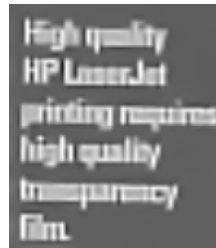
21 frames  
of size  
 $57 \times 49$



*LR video*



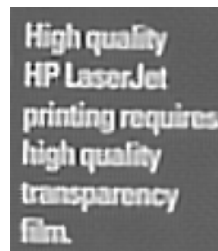
*MAP*



*tightframe*



*reference frame*



*sparse directional*



*nuclear*

Source: <https://users.soe.ucsc.edu/~milanfar/software/sr-datasets.html>

40

## Alpaca Video Upsampled by 2



*LR video*

*MAP*

*tightframe*



*reference frame*

*sparse directional*

*nuclear*

21 frames of size  $96 \times 128$

Source: <https://users.soe.ucsc.edu/~milanfar/software/sr-datasets.html>

41

## Alpaca Video Upsampled by 4



*LR video*

*MAP*

*tightframe*



*reference frame*

*sparse directional*

*nuclear*

21 frames of size  $96 \times 128$

Source: <https://users.soe.ucsc.edu/~milanfar/software/sr-datasets.html>

42

## Alpaca Image Upsampled by 4



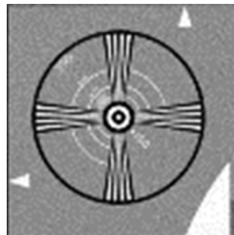
reference image

nuclear

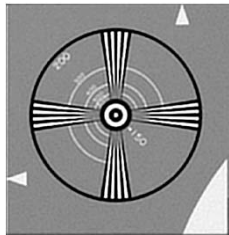
43

## EIA Video Upsampled by 4

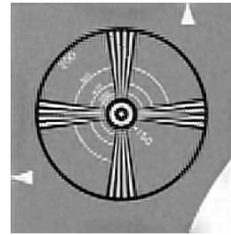
19 frames  
of size  
 $57 \times 49$



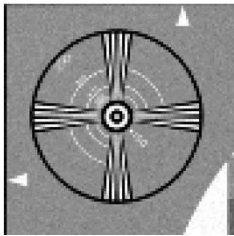
LR video



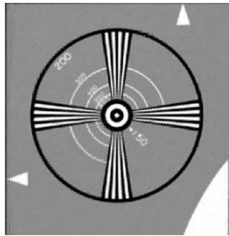
MAP



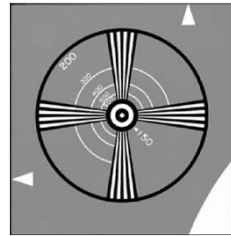
tightframe



reference frame



sparse directional

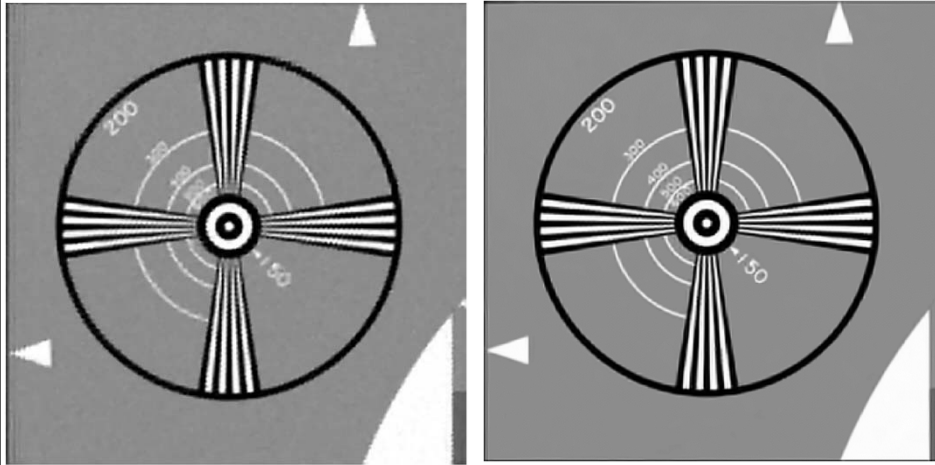


nuclear

Source: <https://users.soe.ucsc.edu/~milanfar/software/sr-datasets.html>

44

*EIA Image Upsampled by 4*

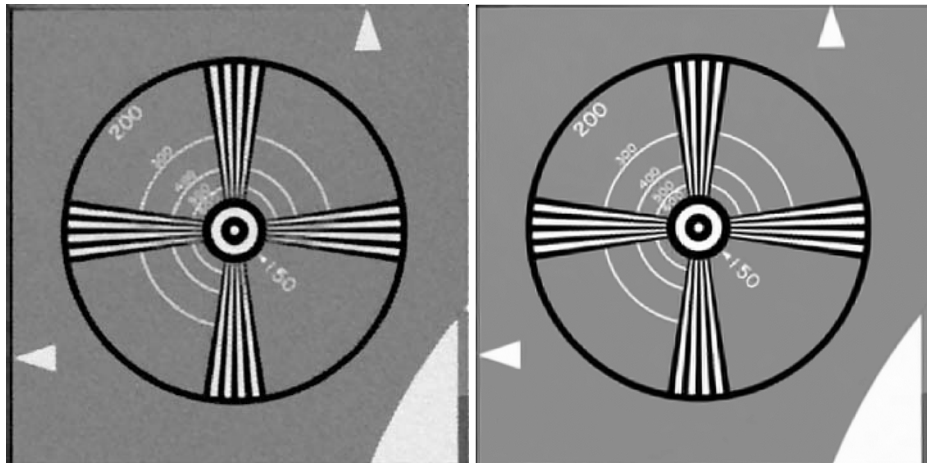


*sparse directional*

*nuclear*

45

*EIA Image Upsampled by 4*



*L1-robust, Farsiu et al. (2004)  
10 x 10 (.jpg)*

*nuclear*

46

## Book-shelf Upsampled by 2



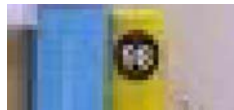
*LR video*



*MAP*



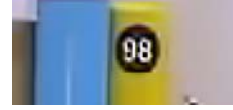
*tightframe*



*reference frame*



*sparse directional*



*nuclear*

47

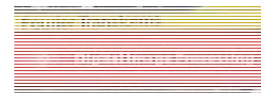
## Bookshelf Video Upsampled by 2



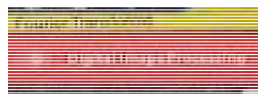
*LR video*



*MAP*



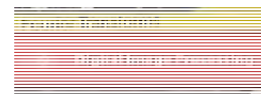
*tightframe*



*reference frame*



*sparse directional*



*nuclear*

48



## Boat Video Upsampled by 2



*LR video*



20.88dB

*MAP*



18.66dB

*tightframe*



*true image*



25.76dB

*sparse directional*



26.94dB

*nuclear*

17 frames of size  $256 \times 256$  with change of lighting and noise

49

## Single Frame Upsampling



interpolate/inpaint



interpolate/inpaint

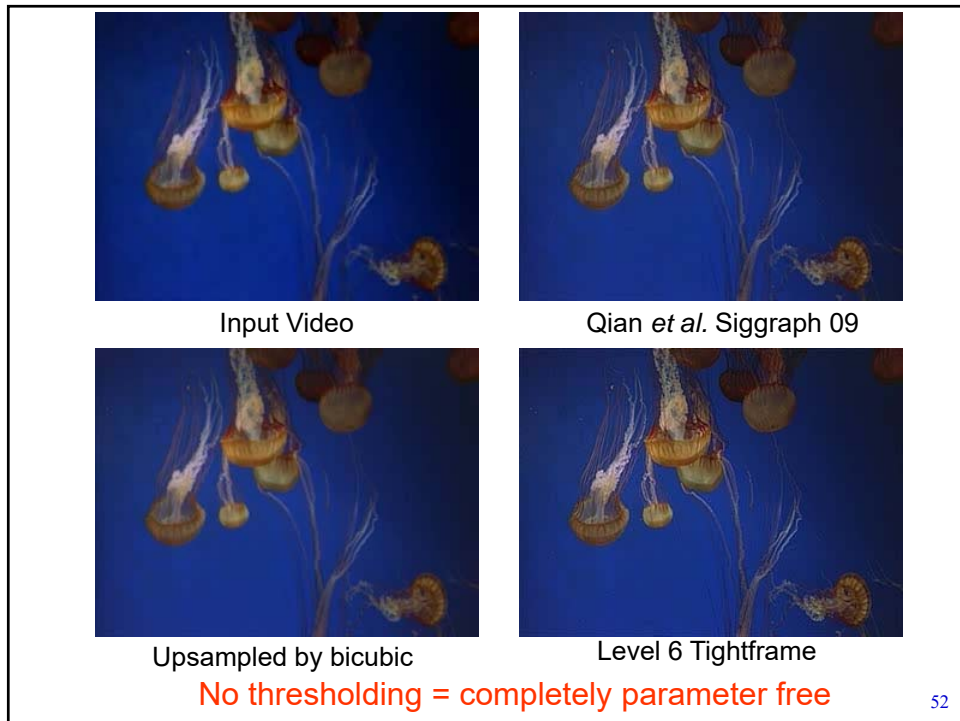
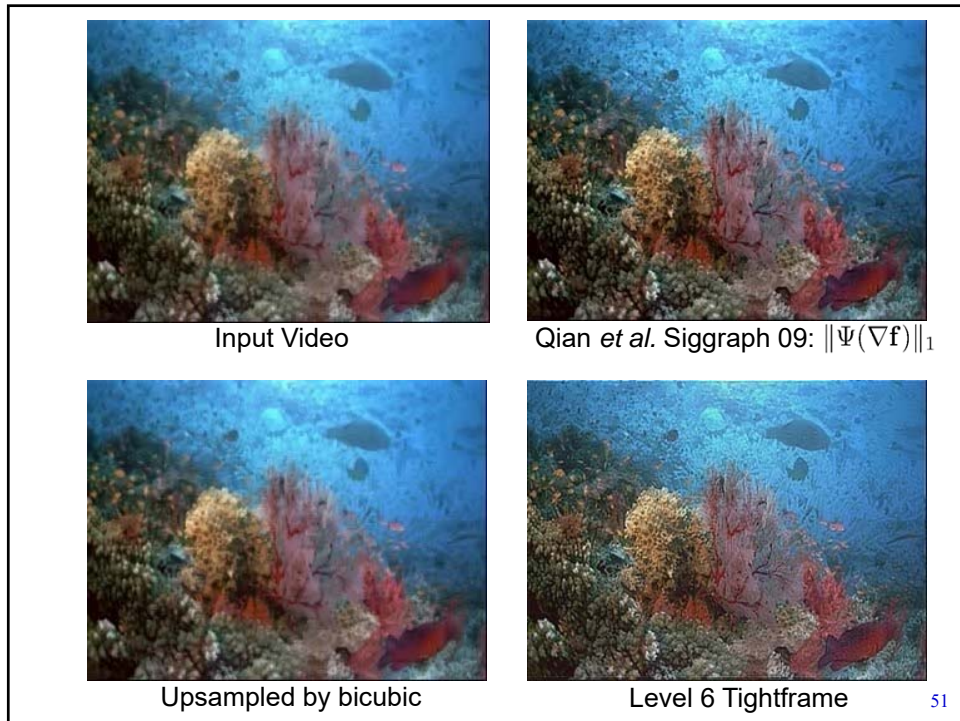
HR video from  
LR video



interpolate/inpaint



50



## *Concluding Remarks*

- New approach for video still enhancement
- Decompose motion matrix into product of shift matrix (for integral shift) and Toeplitz matrix (for fractional shift)
- Enforce low rank structure using the integral shift matrix
- Resulting convexified functional can be solved efficiently via ADMM

**R. Zhao and R. Chan, arXiv:1704.06196**

53